

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
**ADV 2B**

**Supervised Advisers**

John E. Tyner  
1447 Trademart Blvd  
Winston-Salem, NC 27127  
(336) 856-0080

Semmax Financial Advisors, Inc.  
1447 Trademart Blvd  
Winston-Salem, NC 27127  
336- 856-0080 – (Telephone)  
336-856-0071 (Facsimile)

**Brochure Supplement**  
**June 29, 2017**

This brochure supplement provides information about John E. Tyner, which supplements the Semmax Financial Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact Eric Mattinson at Semmax Financial Advisors, Inc. if you did not receive the Brochure of Semmax Financial Advisors, Inc., or if you have questions about the contents of this Supplement.

Additional information about John E. Tyner is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
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**John E. Tyner: President and registered investment adviser with Semmax Financial**

**Year of birth: 1962**

Item 2. Education Background:                      BS Communications                      Tennessee Temple University                      1986

Business Background:	06/2011 to Present	Semmax Financial Group, President
	04/2007 to Present	Semmax Financial Advisors, Inc. President
	06/2011 to Present	Semmax Tax, Inc., President
	01/2004 to 11/2014	Triad Tax Advisory & Financial Services, Inc.                      President
	12/2003 to Present	Semmax, Inc.                      President
	02/2002 to Present	KCJ Properties                      President

Professional Designation(s)

Active licensed Registered Financial Consultant (RFC).

To become an RFC, the candidate must have a minimum of four years of work experience, complete an education requirement, have the correct licenses, fulfill a number of ethical requirements, and, once licensed, provide assurance of continued compliance. To fulfill the four years or more of work experience requirement, the candidate must be working, or worked, full time during the relevant period. He or she must also be, or have been, a practitioner in the field of financial planning or financial services.

The education requirement is satisfied in one of the following ways. A candidate who holds a Bachelor's or advanced degree in Business, Economics, Finance, or a related field meets the education requirement. A candidate who holds the professional designation of an Accredited Asset Management Specialist, Chartered Financial Analyst, Certified Financial Planner, Chartered Financial Consultant, Chartered Life Underwriter, Certified Public Accountant, Enrolled Agent, Juris Doctor, or Life Underwriter Training Counsel Fellow also satisfies the education requirement. Holding a Series 65 Securities license, a Series 6 and 63 Securities license, a Series 6 and 66 Securities license, a Series 7 and 63 Securities license, or Series 7 and 66 Securities license also satisfies the education requirement. Finally, a candidate who holds a life insurance license meets the education requirement. The education curriculum is required to

have included an examination process. The candidate must also have the required licenses necessary for his or her mode of practice.

The candidate must also meet a number of ethical requirements to be licensed as an RFC. For example, the candidate must have a sound record of business integrity without suspension or revocation of any professional licenses. He or she must be in good standing with all licensing bodies and organizations. The candidate must also subscribe and adhere to the International Association of Registered Financial Consultants Code of Ethics. He or she must complete the application to become an RFC in its entirety and pay the appropriate application fee.

Once the candidate is licensed, he or she must agree to devote a minimum of 40 hours per year of Professional Continuing Education in the field of personal finance and professional practice management. Four hours every two years must be devoted to ethics. Finally, the candidate must annually provide assurance of continued compliance and operation.

### Item 3. Disciplinary Information

- A. No material criminal or civil actions have been taken in domestic, foreign or military court of competent jurisdiction against this supervised person.
- B. No administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority have been taken against this supervised person.
- C. No self-regulatory organization proceedings have been taken with this supervised person
- D. This supervised person has not had his license or designations revoked or suspended because of a violation of rules relating to professional conduct.

### Item 4. Other Business Activities

President John E. Tyner, Jr.'s principal business is split between a registered investment adviser with Semmax Financial and a licensed insurance agent with Semmax, Inc. He offers clients advice and products from both of those activities. Approximately 50% of John's time is spent in his insurance practice. He receives compensation by way of commission from the Insurance Companies he uses. Clients are not required to purchase any insurance products, nor investment advisory services.

President John E. Tyner, Jr. is also the President of Semmax Tax, Inc. The purpose of this company is to assist seniors with their tax preparation. John receives minimal compensation for such tax preparation work. Clients are not required to use the services of Semmax Tax.

John E. Tyner is also the President of Semmax Financial Group, Inc., a holding company. Mr. Tyner spends only about ¼ an hour in a given month on this business.

John E. Tyner is also the manager of KCJ Properties Management, Inc., a single family home rental company. Mr. Tyner spends only about ¼ an hour in a given month on this business.

Item 5. Additional Compensation

Said supervised person does not receive any compensation from a non-client for providing advisory services.

Item 6. Supervision

Semmax Financial supervises the advice provided by this supervised person. This is done by review of any and all advice given, materials used to provide the advice, review of the clients' objectives along with the advice given to make sure they are in agreement. President John E. Tyner, Jr. is responsible for all supervision of advisors of Semmax Financial. He can be reached at (336) 856-0080.

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
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**Supervised Advisers**

Eric David Mattinson  
1447 Trademart Blvd  
Winston-Salem, NC 27127  
(336) 856-0080

Semmax Financial Advisors, Inc.  
1447 Trademart Blvd  
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**Brochure Supplement**  
**June 29, 2017**

This brochure supplement provides information about Eric David Mattinson, which supplements the Semmax Financial Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact Eric Mattinson at Semmax Financial Advisors, Inc. if you did not receive the Brochure of Semmax Financial Advisors, Inc., or if you have questions about the contents of this Supplement.

Additional information about Eric David Mattinson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
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**Eric David Mattinson: registered investment adviser with Semmax Financial**

**Year of birth: 1982**

Item 2. Education Background:	Bachelor's Degree in Biblical Studies Piedmont Baptist College	2005
	Master's Degree in Management and Leadership Liberty University	2010
Business Background:	08/2012 to Present	Semmax Financial Representative
	08/2012 to Present	Semmax Inc., Agent
	11/2010 to 07/2012	Sun Trust Bank Licensed Branch Mgr.
	07/2007 to 10/2010	Wachovia Bank Licensed Banker
	01/2007 to 07/2007	USA Financial Services Independent Agent

Professional Designation(s)

Active licensed Registered Financial Consultant (RFC).

To become an RFC, the candidate must have a minimum of four years of work experience, complete an education requirement, have the correct licenses, fulfill a number of ethical requirements, and, once licensed, provide assurance of continued compliance. To fulfill the four years or more of work experience requirement, the candidate must be working, or worked, full time during the relevant period. He or she must also be, or have been, a practitioner in the field of financial planning or financial services.

The education requirement is satisfied in one of the following ways. A candidate who holds a Bachelor's or advanced degree in Business, Economics, Finance, or a related field meets the education requirement. A candidate who holds the professional designation of an Accredited Asset Management Specialist, Chartered Financial Analyst, Certified Financial Planner, Chartered Financial Consultant, Chartered Life Underwriter, Certified Public Accountant,

Enrolled Agent, Juris Doctor, or Life Underwriter Training Counsel Fellow also satisfies the education requirement. Holding a Series 65 Securities license, a Series 6 and 63 Securities license, a Series 6 and 66 Securities license, a Series 7 and 63 Securities license, or Series 7 and 66 Securities license also satisfies the education requirement. Finally, a candidate who holds a life insurance license meets the education requirement. The education curriculum is required to have included an examination process. The candidate must also have the required licenses necessary for his or her mode of practice.

The candidate must also meet a number of ethical requirements to be licensed as an RFC. For example, the candidate must have a sound record of business integrity without suspension or revocation of any professional licenses. He or she must be in good standing with all licensing bodies and organizations. The candidate must also subscribe and adhere to the International Association of Registered Financial Consultants Code of Ethics. He or she must complete the application to become an RFC in its entirety and pay the appropriate application fee.

Once the candidate is licensed, he or she must agree to devote a minimum of 40 hours per year of Professional Continuing Education in the field of personal finance and professional practice management. Four hours every two years must be devoted to ethics. Finally, the candidate must annually provide assurance of continued compliance and operation.

### Item 3. Disciplinary Information

- E. No criminal or civil actions have been taken in domestic, foreign or military court of competent jurisdiction against this supervised person.
- F. No administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority have been taken against this supervised person.
- G. No self-regulatory organization proceedings have been taken with this supervised person
- H. This supervised person has not had his license or designations revoked or suspended because of a violation of rules relating to professional conduct.

### Item 4. Other Business Activities

Eric Mattinson is a licensed insurance agent with Semmax, Inc. He meets with clients to determine if an insurance solution will help meet the client's retirement needs. Approximately 80 hours per month are spent on this activity. The recommendation by Semmax Financial adviser representatives for a client to purchase an insurance product may present a conflict of interest based upon the incentive of the representative to receive a commission on the insurance product. Semmax Financial manages the conflict of interest by reviewing any proposed insurance products to determine if the product is in the client's best interest. Advisory clients are not required to purchase insurance products or services recommended by the representative, nor are they required to purchase them through Semmax, Inc.

Item 5. Additional Compensation

Said supervised person does not receive any compensation from a non-client for providing advisory services.

Item 6. Supervision

Semmax Financial supervises the advice provided by this supervised person. This is done by review of any and all advice given, materials used to provide the advice, review of the clients' objectives along with the advice given to make sure they are in agreement. President John E. Tyner, Jr. is responsible for all supervision of advisors of Semmax Financial. He can be reached at (336) 856-0080.



**Brochure Supplement: Semmax Financial Advisors, Inc.**  
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**Supervised Advisers**

Joseph King Berry  
1447 Trademart Blvd  
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**Brochure Supplement**  
**June 29, 2017**

This brochure supplement provides information about Joseph King Berry, which supplements the Semmax Financial Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact Eric Mattinson at Semmax Financial Advisors, Inc. if you did not receive the Brochure of Semmax Financial Advisors, Inc., or if you have questions about the contents of this Supplement.

Additional information about Joseph King Berry is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
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**Joseph King Berry: Investment Adviser Representative with Semmax Financial**

**Year of Birth: 1970**

Item 2. Education Background: Hillsborough County Community College 1991-1992  
Grace Baptist Bible College 2013-2014

Business Background: 2014 – Present Semmax Financial, Investment Adviser  
Representative  
2016 – Present Semmax Inc., Agent  
2016 – Present Semmax Futures Fund, LLC, Commodity  
Trading Advisor  
2013- 2014 Piedmont Triad Insurance, Agent  
1996 – 2013 CMH Homes, Inc., General Manager

Item 3. Disciplinary Information

- I. No criminal or civil actions have been taken in domestic, foreign or military court of competent jurisdiction against this supervised person.
- J. No administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority have been taken against this supervised person.
- K. No self-regulatory organization proceedings have been taken with this supervised person
- L. This supervised person has not had his license or designations revoked or suspended because of a violation of rules relating to professional conduct.

Item 4. Other Business Activities

Joe Berry is a licensed insurance agent with Semmax, Inc. He meets with clients to determine if an insurance solution will help meet the client's retirement needs. Approximately 70 hours per month are spent on this activity. The recommendation by Semmax Financial adviser representatives for a client to purchase an insurance product may present a conflict of interest based upon the incentive of the representative to receive a commission on the insurance product. Semmax Financial manages the conflict of interest by reviewing any proposed insurance products to determine if the product is in the client's best interest. Advisory clients are not required to purchase insurance products or services recommended by the representative, nor are they required to purchase them through Semmax, Inc.

Joe Berry is also a Commodity Trading Advisor with the Semmax Futures Fund, a commodity pool. In this capacity, Mr. Berry oversees day to day operation of the fund and acts as liaison for clients who wish to invest in the fund. Mr. Berry spends approximately 20 hours a month in this capacity.

Item 5. Additional Compensation

Said supervised person does not receive any compensation from a non-client for providing advisory services.

Item 6. Supervision

Semmax Financial supervises the advice provided by this supervised person. This is done by review of any and all advice given, materials used to provide the advice, review of the clients' objectives along with the advice given to make sure they are in agreement. President John E. Tyner, Jr. is responsible for all supervision of advisors of Semmax Financial. He can be reached at (336) 856-0080.

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
**ADV 2B**

**Supervised Advisers**

Matthew Landon  
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**Brochure Supplement**  
**August 31, 2017**

This brochure supplement provides information about Matthew Landon, which supplements the Semmax Financial Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact Eric Mattinson at Semmax Financial Advisors, Inc. if you did not receive the Brochure of Semmax Financial Advisors, Inc., or if you have questions about the contents of this Supplement.

Additional information about Matthew Landon is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
**ADV 2B**

**Matthew Landon: Investment Adviser Representative with Semmax Financial**

**Year of birth: 1989**

Item 2. Education Background: B.S. in Kinesiology with Minor in English and History  
University of North Carolina at Greensboro, 2008 - 2013

Business Background:	01/2017 to Present	Semmax Financial, Representative
	10/2014 to 01/2017	IH Caffey Dist., Market Manager
	03/2010 to 06/2015	PF Changs, Server and Trainer

Item 3. Disciplinary Information

- A. No criminal or civil actions have been taken in domestic, foreign or military court of competent jurisdiction against this supervised person.
- B. No administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority have been taken against this supervised person.
- C. No self-regulatory organization proceedings have been taken with this supervised person
- D. This supervised person has not had his license or designations revoked or suspended because of a violation of rules relating to professional conduct.

Item 4. Other Business Activities

Said supervised person is not actively engaged in any other business activities.

Item 5. Additional Compensation

Said supervised person does not receive any compensation from a non-client for providing advisory services.

Item 6. Supervision

Semmax Financial supervises the advice provided by this supervised person. This is done by review of any and all advice given, materials used to provide the advice, review of the clients'

objectives along with the advice given to make sure they are in agreement. President John E. Tyner, Jr. is responsible for all supervision of advisors of Semmax Financial. He can be reached at (336) 856-0080.

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**Supervised Advisers**

Jonathan Short  
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Winston-Salem, NC 27127  
(336) 856-0080

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**Brochure Supplement**  
**September 5, 2017**

This brochure supplement provides information about Jonathan Short, which supplements the Semmax Financial Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact Eric Mattinson at Semmax Financial Advisors, Inc. if you did not receive the Brochure of Semmax Financial Advisors, Inc., or if you have questions about the contents of this Supplement.

Additional information about Jonathan Short is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
**ADV 2B**

**Jonathan Short:**                      **Investment Adviser Representative with Semmax Financial**

**Year of birth: 1983**

Item 2. Education Background:	August 2001 to May 2002 Jan 2003 to May 2006	Piedmont Baptist College, Winston Salem NC
Business Background:	03/2016 to Present 05/2011 to 03/2016	Semmax Financial, Servicing Advisor National General Insurance/GMAC, Claims Adjuster

Item 3. Disciplinary Information

- A. No criminal or civil actions have been taken in domestic, foreign or military court of competent jurisdiction against this supervised person.
- B. No administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority have been taken against this supervised person.
- C. No self-regulatory organization proceedings have been taken with this supervised person
- D. This supervised person has not had his license or designations revoked or suspended because of a violation of rules relating to professional conduct.

Item 4. Other Business Activities

Said supervised person is not actively engaged in any other business activities.

Item 5. Additional Compensation

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Item 6. Supervision

Semmax Financial supervises the advice provided by this supervised person. This is done by review of any and all advice given, materials used to provide the advice, review of the clients' objectives along with the advice given to make sure they are in agreement. President John E. Tyner, Jr. is responsible for all supervision of advisors of Semmax Financial. He can be reached at (336) 856-0080.



**Brochure Supplement: Semmax Financial Advisors, Inc.**  
**ADV 2B**

**Supervised Advisers**

Travis Vernon Lethco  
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(336) 856-0080

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336-856-0071 (Facsimile)

**Brochure Supplement**  
**May 14, 2018**

This brochure supplement provides information about Travis Vernon Lethco, which supplements the Semmax Financial Advisors, Inc. Brochure. You should have received a copy of that brochure. Please contact Eric Mattinson at Semmax Financial Advisors, Inc. if you did not receive the Brochure of Semmax Financial Advisors, Inc., or if you have questions about the contents of this Supplement.

Additional information about Travis Vernon Lethco is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Brochure Supplement: Semmax Financial Advisors, Inc.**  
**ADV 2B**

**Travis Vernon Lethco: Investment Adviser Representative with Semmax Financial**

**Year of birth: 1981**

Item 2. Education Background: Forsyth Technical Community College, 2000-2002  
Greensboro College, 2003-2004

Business Background:	2018 – Present	Semmax Financial, Representative
	2013 – 2018	BB&T Securities, LLC, Agent
	2012 – 2013	Scott & Stringfellow, Jr Financial Advisor
	2012 – 2012	Bank of America, N.A., PMD Trainee / Financial Advisor
	2012 – 2012	Merrill Lynch, PMD Trainee

Item 3. Disciplinary Information

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- C. No self-regulatory organization proceedings have been taken with this supervised person
- D. This supervised person has not had his license or designations revoked or suspended because of a violation of rules relating to professional conduct.

Item 4. Other Business Activities

Said supervised person is not actively engaged in any other business activities.

Item 5. Additional Compensation

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